BURKINA FASO

Survey on the Effectiveness of Anticorruption Authorities

Background Information

1. Please enter country name in the space below
   BURKINA FASO

2. Name of the agency
   Autorité Supérieure de Contrôle d’Etat (ASCE)

3. Date of establishment
   29 novembre 2007

4. Contact Information
   Tel : (226) 50 30 10 91/92    Fax (226) 50 30 57 04
   E-mail : asce@fasonet.bf

5. Website
   ----Néant

Legal Framework

6. What are the main anti-corruption laws in your country? (please include year of entry into force)

   -Document de Politique nationale révisée de lutte contre la corruption (décret n°2013-859 /PRES/PM du 3 octobre 2013 portant adoption de la politique nationale révisée de lutte contre la corruption et son plan d’actions)
   -Code pénal (Loi n° 043/96/ADP du 13 novembre 1996)

7. Does your Government have a single or primary anti-corruption strategy?

   Document de Politique nationale révisée de lutte contre la corruption (décret n°2013-859 /PRES/PM du 3 octobre 2013 portant adoption de la politique nationale révisée de lutte contre la corruption et son plan d’actions)
8. Does your country have freedom of information legislation?
   ○ Yes   ○ No

9. Does your country have conflict of interest legislation?
   ○ Yes   ○ No

10. Does your country have a financial disclosure system to help prevent conflicts of interest?
    ○ Yes   ○ No

11. Does your country have immunity protection legislation?
    ○ Yes   ○ No

12. Is your agency protected from political interference by law?
    ○ Yes   ○ No

Institutional Framework

13. What are the main functions and operations of your agency? Please check all that apply

   ○ Research   ○ Prevention   ○ Investigation   ○ Prosecution   ○ Forensics   ○ Accounting

14. Is there one agency in charge of coordinating AC efforts across agencies?
    ○ Yes   ○ No
    If yes, please specify: Inspections techniques des départements ministériels

15. Does your agency have the ability to share information or data with

    (a) Other agencies within your Government, and
        ○ Yes   ○ No

    (b) Government partners from other countries?
        ○ Yes   ○ No

16. Please enter the current size of permanent staff in the space below.

   21

17. Number of prosecutors (if applicable)

   Néant

18. Number of investigators (if applicable)

   21

19. Number of support staff (if applicable)

   37
20. **Number of cases handled annually (if applicable)**
   
   (a) **Number of complaints received**
   
   | 48 |
   
   (b) **Number of investigations conducted**
   
   | 23 |
   
   (c) **Number of cases handled in court**
   
   | 12 |
   
   (d) **Other please specify**
   
   | 13 (non éligibles) |
   
21. **Please state the number of complaints received for which your agency did a follow up**
   
   | 23 |
   
22. **Please state the prosecution to conviction ratio of your agency (if applicable)**
   
   | Néant |
   
23. **Please state the number of learning activities and outreach events organized by your agency (if applicable)**
   
   | |
   
24. **Please state the amount of assets recovered by your agency (if applicable)**
   
   | Néant |
   
25. **Please enter the names of other agencies with similar functions as this ACA**
   
   | Cour des comptes |
   
26. **Does your agency have a system to monitor performance of staff?**
   
   ☐ Yes ☐ No

27. **Are human resource management actions, i.e., recruitment, promotion, and termination based primarily on staff integrity and professionalism?**
   
   ☐ Yes ☐ No

28. **Are new vacancies for your own agency announced publically?**
   
   ☐ Yes ☐ No

29. **Are the results of these recruitment processes announced publically by your agency?**
   
   ☐ Yes ☐ No
30. Who appoints the head of your agency?

Conseil des ministres sur proposition du Premier ministre

31. Who has the authority to remove the head of the ACA?

Conseil des ministres sur proposition du Premier ministre

32. To whom does the head of your agency report to?

- Président de la république
- Premier ministre
- Président de l’Assemblée nationale
- Grand public

33. Is there any term limit for the head of the ACA?

- Yes  - No

If yes, please specify: ___________

34. Is the agency subject to judicial review?

- Yes  - No

35. Is the agency subject to expenditure reviews?

- Yes  - No

36. Does your agency measure "performance"?

- Yes  - No

If yes, against which indicators?

- Number of investigations conducted
- Number of investigations concluded
- Ratio of number of investigations/staff
- Percentage of total budget allocated to outreach activities and communication.
- Number of outreach activities delivered

Others, please specify

37. In practice is your agency protected from political interference?

- Yes  - No

38. Does your agency keep a comprehensive skill list of its staff?

- Yes  - No
39. Is the staff provided with regular training and refresher training to ensure that their skills are up-to-date?

☐ Yes  ☐ No

40. Are employees protected by law from recrimination or other negative consequences when reporting corruption (i.e. whistleblowing)?

☐ Yes  ☐ No

41. Does your agency have a communication strategy?

☐ Yes  ☐ No

42. Does your agency have a comprehensive corporate plan?

☐ Yes  ☐ No

43. Is there a strategy for formulating a partnership with outside agencies to fight corruption?

☐ Yes  ☐ No

44. Is there a strategy for dealing with the media?

☐ Yes  ☐ No

45. In practice does your agency has sufficient powers to carry out its mandate?

☐ Yes  ☐ No

Resource Mobilization

46. Does your agency have budgetary autonomy?

☐ Yes  ☐ No

47. What is the annual budget of your agency?

\[1270 \text{ m FCA} = 2.54 \text{ m }$\]

48. The annual budget is determined by (please choose the answer(s) that best fit(s) your agency)

| ☐ | Number of staff |
| ☐ | Number of investigations |
| ☐ | Budget is constitutionally mandated |
| ☐ | Other, please specify |
49. How much does it roughly cost to (please enter the dollar amount per stated activity)?

<table>
<thead>
<tr>
<th></th>
<th>File a case</th>
<th></th>
<th>Manage a paper case</th>
<th></th>
<th>Analyze a case</th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
<td></td>
<td>$</td>
<td></td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

50. Is there any donor involvement in your agency in term of budget?

- Yes
- No

51. Does your agency use a computerized system to handle cases?

- Yes
- No

Social Framework

52. Does your agency provide a mechanism for citizens to report complaints anonymously or provide information on corrupt activities?

- Yes
- No

53. Does your agency or government provide a hotline to report corruption?

- Yes
- No

54. Does your agency produce regular and annual public reports of its activities?

- Yes
- No

Innovation

55. Does your agency use any IT tools to

a. Facilitate reporting of corruption and fraud by citizens (like www.Ipaidabribe.org)

b. Share information with citizens about its own activities

OUI

c. Share and/or obtain information for its own investigations

OUI

d. Other – please explain

56. Does your agency use social media to raise awareness on corruption among the public?

- Yes
- No