

Survey on the Effectiveness of Anticorruption Authorities

Background Information

1. Please enter country name in the space below

Latvia

2. Name of the agency

Corruption Prevention and Combating Bureau

3. Date of establishment

2002

4. Contact Information

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5. Website

www.knab.gov.lv

Legal Framework

6. What are the main anti-corruption laws in your country? (please include year of entry into force)

Law on Prevention of Conflict of Interests in Activities of Public Officials, 2002;
Law on Corruption Prevention and Combating Bureau, 2002;
Chapter XXIV of the Criminal Law;

7. Does your Government have a single or primary anti-corruption strategy?

Yes.

8. Does your country have freedom of information legislation?

- Yes No

9. Does your country have conflict of interest legislation?

- Yes No

10. Does your country have a financial disclosure system to help prevent conflicts of interest?

- Yes No

11. Does your country have immunity protection legislation?

- Yes No

12. Is your agency protected from political interference by law?

- Yes No

Institutional Framework

13. What are the main functions and operations of your agency? Please check all that apply

- Research Preventio Investigati Prosecution Forensics Accounting Policy

14. Is there one agency in charge of coordinating AC efforts across agencies?

- Yes No

If yes, please specify:

15. Does your agency have the ability to share information or data with

(a) Other agencies within your Government, and

- Yes No

(b) Government partners from other countries?

- Yes No

16. Please enter the current size of permanent staff in the space below.

132 filled positions out of 150 staff positions.

17. Number of prosecutors (if applicable)

N/A

18. Number of investigators (if applicable)

25

19. Number of support staff (if applicable)

25 (supporting functions of all the agency)

20. Number of cases handled annually (if applicable)

(a) Number of complaints received

In 2014 the Bureau received 1035 complaints concerning alleged misconduct and corruption of public officials

(b) Number of investigations conducted

By the December 31 of 2013 45 cases were investigated by the Bureau.

(c) Number of cases handled in court

By the December 31 of 2013 28 cases of the Bureau were reviewed by the first instance courts.

(d) Other please specify

21. Please state the number of complaints received for which your agency did a follow up

N/A

22. Please state the prosecution to conviction ratio of your agency (if applicable)

98 % percent of convictions

23. Please state the number of learning activities and outreach events organized by your agency (if applicable)

In 2013 91 outreach learning activities were launched by the Bureau.

24. Please state the amount of assets recovered by your agency (if applicable)

N/A

25. Please enter the names of other agencies with similar functions as this ACA

Special Investigations Service in Lithuania; Serious Fraud Office in the UK
Central Anti-Corruption Bureau in Poland;
Anti-Fraud Office in Catalonia;

26. Does your agency have a system to monitor performance of staff?

Yes No

27. Are human resource management actions, i.e., recruitment, promotion, and termination based primarily on staff integrity and professionalism?

Yes No

28. Are new vacancies for your own agency announced publically?

Yes No

29. Are the results of these recruitment processes announced publically by your agency?

Yes **No**

30. Who appoints the head of your agency?

The Director of the Bureau is appointed by the Parliament on the basis of the recommendation of the government.

31. Who has the authority to remove the head of the ACA?

The Director can be removed by the Parliament vote.

32. To whom does the head of your agency report to?

To the Government and to the Parliament twice a year.

33. Is there any term limit for the head of the ACA?

- Yes** No

If yes, please specify: Term of the office for the Director is for 5 years.

34. Is the agency subject to judicial review?

- Yes **No**

35. Is the agency subject to expenditure reviews?

- Yes** No

36. Does your agency measure "performance"?

- Yes** No

If yes, against which indicators?

- Number of investigations conducted**
- Number of investigations concluded**
- Ratio of number of investigations/staff**
- Percentage of total budget allocated to outreach activities and communication.
- Number of outreach activities delivered
- Others, please specify

37. In practice is your agency protected from political interference?

- Yes** No

38. Does your agency keep a comprehensive skill list of its staff?

- Yes **No**

39. Is the staff provided with regular training and refresher training to ensure that their skills are up-to-date?

- Yes** No

40. Are employees protected by law from recrimination or other negative consequences when reporting corruption (i.e. whistleblowing)?

- Yes** No

41. Does your agency have a communication strategy?

- Yes** No

42. Does your agency have a comprehensive corporate plan?

- Yes** No

43. Is there a strategy for formulating a partnership with outside agencies to fight corruption?

- Yes** No

44. Is there a strategy for dealing with the media?

- Yes** No

45. In practice does your agency has sufficient powers to carry out its mandate?

- Yes** No

Resource Mobilization

46. Does your agency have budgetary autonomy?

- Yes** No

47. What is the annual budget of your agency?

In 2014 EUR 4 721 874

48. The annual budget is determined by (please choose the answer(s) that best fit(s) your agency)

<input type="radio"/>	Number of staff
<input type="radio"/>	Number of investigations
<input type="radio"/>	Budget is constitutionally mandated

<input type="radio"/>	<u>Other, please specify</u> All of the above stated in the combination of annual priorities should that have financial impact.
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49. How much does it roughly cost to (please enter the dollar amount per stated activity)?

\$	File a case	\$	Manage a paper case	\$	Analyze a case
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50. Is there any donor involvement in your agency in term of budget?

- Yes **No**

51. Does your agency use a computerized system to handle cases?

- Yes** No

Social Framework

52. Does your agency provide a mechanism for citizens to report complaints anonymously or provide information on corrupt activities?

- Yes** No

53. Does your agency or government provide a hotline to report corruption?

- Yes** No

54. Does your agency produce regular and annual public reports of its activities?

- Yes** No

Innovation

55. Does your agency use any IT tools to

- a. Facilitate reporting of corruption and fraud by citizens (like www.Ipaidabribe.org)
- b. **Share information with citizens about its own activities**
- c. Share and/or obtain information for its own investigations
- d. Other – please explain: E-training of public officials on issues of ethics and conflict of interest is in the process of elaboration.

56. Does your agency use social media to raise awareness on corruption among the public?

- Yes** No